

**Internal Audit Plan 2019/20**

| <b>Audit Title</b>                               | <b>Days</b> |
|--|-------------|
| <b>Chief Executives</b>                          |             |
| HR - Absence Management                          | 10          |
| Work Force Planning                              | 10          |
| Elections  | 15          |
| <b>Total Chief Executives</b>                    | <b>35</b>   |
| <b>Resources &amp; Support Services</b>          |             |
| Payroll  | 15          |
| Purchase to Pay                                  | 15          |
| Income Collection including Cash Handling        | 15          |
| Asset Management Capital                         | 5           |
| Council Tax                                      | 5           |
| Treasury Management                              | 5           |
| Housing Benefits                                 | 5           |
| General Ledger                                   | 5           |
| NNDR   | 5           |
| Sundry Debtors                                   | 5           |
| <b>Total Resources &amp; Support Services</b>    | <b>80</b>   |
| <b>Operational Services</b>                      |             |
| Recycling  | 15          |
| Jubilee 2  | 10          |
| Kidsgrove Sports Centre Community Asset Transfer | 10          |
| <b>Total Operational Services</b>                | <b>35</b>   |
| <b>Regen &amp; Dev</b>                           |             |
| Planning   | 10          |
| Major Projects                                   | 30          |
| <b>Total Regeneration &amp; Development</b>      | <b>40</b>   |
| <b>Corporate</b>                                 |             |
| Commercial Review                                | 15          |
| Risk Management                                  | 20          |
| Corporate Governance                             | 15          |
| Ethics   | 10          |
| Procurement                                      | 10          |
| GDPR Compliance                                  | 15          |
| Contract Management Governance                   | 15          |
| Project Management                               | 15          |
| Brexit   | 5           |
| <b>Total Corporate</b>                           | <b>120</b>  |

| <b>Audit Title</b>  | <b>Days</b> |
|---|-------------|
| <b>IT Audit Assurance (Internal)</b>  |             |
| IT Governance   | 10          |
| Cyber Controls Risk Management Regime / User Education and Awareness.                                     | 10          |
| Application system user security review – Housing and associated homelessness systems                     | 5           |
| <b>Total IT Audit Assurance (Internal)</b>  | <b>25</b>   |
|   |             |
| <b>Total Internal Provision</b>   | <b>335</b>  |
|   |             |
| <b>IT Audit Assurance (External)</b>  |             |
| Identity and Access Management (Cyber Controls Managing User Privileges)                                  | 10          |
| Cyber Controls Framework – Malware Prevention – including Internet content filtering controls.            | 10          |
| Application system user security review - Mitre Finch – Time Recording System / Guard and Payroll systems | 10          |
| <b>Total IT Audit Assurance (External)</b>  | <b>30</b>   |
|   |             |
| <b>Total Plan Days</b>  | <b>365</b>  |